# STATE OF ALABAMA ALABAMA SECURITIES COMMISSION

IN THE MATTER OF:	)
POSITIVE NEWS INCREASE, INC. ANTHONY DARRELL HARRIS WILLIAM BROWN JUDITH BROWN WILL THOMAS BROWN	AMENDED ADMINISTRATIVE ORDER NO. CD-2003-0022
RESPONDENTS	<u></u>

#### AMENDED CEASE AND DESIST ORDER

The Alabama Securities Commission ("Commission"), having the power to administer and provide for the enforcement of all provisions of Title 8, Chapter 6, Code of Alabama 1975, the Alabama Securities Act ("Act"), upon due consideration of the subject matter hereof, and having confirmed information of the offers for sale and/or sale of securities, into, within or from the state of Alabama, has determined as follows:

### **RESPONDENTS**

- 1. POSITIVE NEWS INCREASE, INC. ("PNI") is an Alabama company, with a business address of 217 West Berwyn Apt 228, Mobile, Alabama 36602.
- 2. ANTHONY DARRELL HARRIS ("HARRIS") is the owner of Positive News Increase, Inc. with a business address of 3970 Pembrocke Avenue, Mobile, Alabama 36608. During all times relevant to this complaint Harris was not registered as a securities agent in the State of Alabama. Harris was currently registered as an Agent with Allstate Financial Services from May 15, 2003 until the C&D Order was issued August 29, 2003, he was terminated.
- 3. WILLIAM BROWN ("W. BROWN") is an employee of Positive News Increase, Inc. During all times relevant to this complaint W. Brown was an Agent of Positive News Increase, Inc., with a business address of 3970 Pembrocke Avenue

Mobile, Alabama, 36608 and a home address of 2723 Dawes Road, Mobile, Alabama 36695.

- 4. JUDITH BROWN ("J. BROWN") is an employee of Positive News Increase, Inc. During all times relevant to this complaint J. Brown was an Agent of Positive News Increase, Inc., with a business address of 3970 Pembrocke Avenue, Mobile, Alabama, 36608 and a home address of 1815 Indian Creek Drive Mobile, Alabama, 36607.
- 5. WILL THOMAS BROWN ("T. BROWN") is an employee of Positive News Increase, Inc. During all times relevant to this complaint T. Brown was an Agent of Positive News Increase, Inc., with a business address of 3970 Pembrocke Avenue, Mobile, Alabama, 36608 and a home address of 360 South Ann Street, Mobile, Alabama, 36604.

## STATEMENT OF FACTS

- 6. Information made available to the Commission indicates that Harris, W. Brown, J. Brown and T. Brown sold shares of PNI stock totaling \$844,314.65 from January 2002, to August 2002, to approximately 60 investors several in Alabama and other states from Harris's residence located at 3970 Pembrocke Avenue, Mobile, Alabama, 36608. Neither PNI, Harris, W. Brown, J. Brown nor T. Brown is registered as a Securities Agent or Dealer in the state of Alabama.
- 7. A review of the files of the Alabama Securities Commission disclosed no record of registration nor exemption from registration of the stock of PNI.

#### CONCLUSIONS OF LAW

8. Neither PNI, Harris, W. Brown, J. Brown or T. Brown are neither registered nor exempt from registration as a Dealer or Agent in the state of Alabama. The sale of securities by an unregistered Dealer or Agent in the state of Alabama is a violation of Section 8-6-3(a), Code of Alabama 1975.

The stock of PNI is neither registered nor exempt from registration in the 9. state of Alabama. The sale of unregistered securities, in the state of Alabama is a violation of Section 8-6-4, Code of Alabama 1975.

This Order does not prevent the Alabama Securities Commission from seeking such other civil or criminal remedies that are available to it under the Act.

This Order is appropriate in the public interest for the protection of investors and is consistent with the purposes of the Act.

ACCORDINGLY, IT IS HEREBY ORDERED that RESPONDENTS immediately CEASE AND DESIST from further offers or sales of any security into, within or from the state of Alabama.

Entered at Montgomery, Alabama, this <u>Adam</u> day of <u>Accuracy</u>

ALABAMA SECURITIES COMMISSION 770 Washington Avenue, Suite 570 Montgomery, AL 36130-4700

(334) 242-2984

BY:

JOSEPH P. BORG

Director